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Practitioner's Docket No. 2003DE430

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of **Dirk LEINWEBER**Application No.: **10 / 562,097**

Group No.:

Filed: **12/21/2005**

Examiner:

For: **ALKOXYLATED TENDRIMERS AND USE THEREOF AS BIODEGRADABLE DEMULSIFIERS**

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PETITION FOR CHANGE IN NAME OF INVENTOR

NOTE: "In cases where an inventor's name has been changed after the application has been filed and the inventor desires to change his or her name on the application, he or she must submit a petition under 37 C.F.R. 1.182. The petition should be directed to the attention of the Office of Petitions. The petition must include an appropriate petition fee and an affidavit signed with both names and setting forth the procedure whereby the change of name was effected, or a certified copy of the court order." M.P.E.P., § 605.04(f), 7th Edition.

1. The name of the inventor HEIDI GRUNDNER
has been changed to HEIDI RAUSCH
and it is hereby petitioned that the change be made on the records of the PTO for this application.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(f)

37 C.F.R. § 1.10*

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☒ facsimile transmitted to the Patent and Trademark Office, (571) 273-8300.

Signature

Vicki L. Sgro

(Type or print name of person certifying)

* Only the date of filing (§ 1.5) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.702(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.64) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Petition for Change in Name of Inventor 10-631 page 1 of 2)

10/10/2008 PCH001 00000004 032060 10562097

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Application Serial No. 10/ 562,097

2. An affidavit is attached which is signed by both names of the inventor and which
- ☒ sets forth the procedure by which the change of name was effected, or
 - ☐ a certified copy of the court order.

3. Fee

The fee required by 37 C.F.R. § 1.17(f) is to be paid as follows:

- ☐ Enclosed is a check for \$400.00.
- ☐ Charge Account _____ for any fee deficiency.
- ☒ Charge Account 03-2060 the sum of \$400.00.

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Tel. No.: (704) 331-7156

Customer No.: 25255



SIGNATURE OF PRACTITIONER

Richard P. Silverman

(type or print name of practitioner)

Clariant Corporation

P.O. Address

4000 Monroe Road

Charlotte, NC 28205

(Petition for Change in Name of Inventor) (P-504) - page 2 of 2

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Application Serial No. 10/ 562,097

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AFFIDAVIT IN SUPPORT OF PETITION TO
CHANGE NAME OF INVENTOR

This affidavit is made in support of the accompanying PETITION TO CHANGE NAME
OF INVENTOR.

This affidavit is signed by the inventor by his/her prior name and is also signed by the
inventor by his/her present (new) name.

☒ The procedure by which the name change was effected was:

Name Change as a result of marriage.

or

☐ A certified copy of the court order is attached.

HEIDI GRUNDNER

(typed as inventor's prior name)

Heidi Grundner

Signature of inventor (prior name)

HEIDI RAUSCH

(typed as inventor's present (new) name)

Heidi Rausch

Signature of inventor — present (new) name

(Affidavit in Support of Petition to Change Name of Inventor [P-60]—page 1 of 1)



UNITED STATES PATENT AND TRADEMARK OFFICE

*See 2003DE428**Ali*

19 SEP 2008

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4000 MONROE ROAD
CHARLOTTE NC 28205*Response*
10-19-08
*no EXT!*In re Application of
LEINWEBER et al.
Application No.: 10/562,097
PCT No.: PCT/EP04/06651
Int. Filing Date: 19 June 2004
Priority Date: 02 July 2003
Attorney Docket No.: 2003DE430
For: ALKOXYLATED DENDRIMERS AND USE
THEREOF AS BIODEGRADABLE DEMULSIFIERS

DECISION ON SUBMISSION

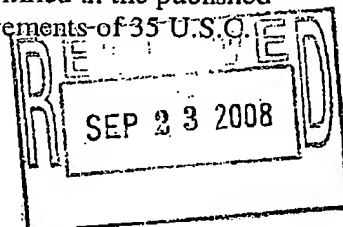
This application is before the PCT Legal Office for consideration of matters arising under 35 U.S.C. 371. Applicant submitted an executed declaration in the above referenced application filed in the United States Patent and Trademark Office on 21 December 2005.

BACKGROUND

On 21 December 2005, applicant filed a transmittal letter concerning a filing under 35 U.S.C. 371 accompanied by the basic national fee and an executed declaration. The published international application identified the fourth inventor as Heidi Grundner. However, the declaration identified the fourth inventor as Heidi Rausch and was executed as such.

DISCUSSION

A review of the application file reveals that the given name of the applicant as listed on the published international application is "Heidi Grundner." The declaration, filed on 21 December 2005, is signed by "Heidi Rausch". The executed declaration is not in compliance with 37 CFR 1.497(a) and (b) as the declaration does not name the inventive entity identified in the published international application. Thus, the declaration does not satisfy the requirements of 35 U.S.C. 371 (c)(4) for entrance into the national stage in the United States.



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It does not appear that applicant filed a request under PCT Rule 92*bis* to change the name of the inventor as no Notification of the Recording of a Change (Form PCT/IB/306) has been submitted which indicates that the name change was accepted. Should applicant wish to change the fourth inventor's name to Rausch, a petition under 37 CFR 1.182 is required. See MPEP §605.04(c).

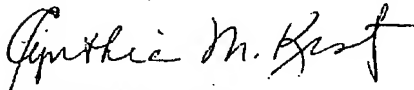
CONCLUSION

The declaration of inventors submitted by applicants on 21 December 2005 is not in compliance with 37 CFR 1.497(a) and (b) and is unacceptable.

A petition under 37 CFR 1.182 is required. The application will be held in the PCT Legal Office to await applicant's further reply.

Applicant must respond within **ONE (1) MONTH** from the mail date of this decision.

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



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